

**Schedule B  
B 2100A (Form 2100A)**

**UNITED STATES BANKRUPTCY COURT**  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

LEE SIU MUI  
Name of Transferee

The Hongkong and Shanghai Banking Corporation  
Limited

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Name: [ LEE SIU MUI ]  
Address: [ 6A GALLANT GARDEN MANSION,  
23-27 HO MAN TIN STREET KOWLOON,  
HONG KONG ]  
Phone: [ +852 2714 1716 ]

Court Claim # (if known): [ 5666<sup>9</sup> ]

Amount of Claim: See attached Evidence of Partial  
Transfer of Claim

Date Claim Filed: 29 October 2009

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my  
knowledge and belief.

By:  Date: 29-JUL-2021

Transferee/Transferee's Agent

Name: [ LEE SIU MUI ]  
Title: n/a

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**Schedule C**  
**Evidence of transfer of claim**

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED** ("Transferor"), does hereby certify that, as of 29-JUL-2021 it has unconditionally and irrevocably transferred and assigned to [ LEE SIU MUI ] ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [ 56669 ] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
[XS0333301629]	Lehman Brothers Treasury Co BV	LEHMAN BROTHERS HOLDINGS INC	[USD 500,000]

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 29 of JUL, 2021.

**THE HONGKONG AND SHANGHAI  
BANKING CORPORATION LIMITED**

By:                       
For and on behalf of  
The Hongkong and Shanghai Banking Corporation Limited

Name:                       
Title: Yerry Lam  
Head of Private Banking Operations  
Tel.: Private Banking Division

[ LEE SIU MUI  
By:                      ]

Name: LEE SIU MUI  
Title:                       
Tel.: +852 2714 1716